



State of Washington

DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

P.O. Box 41200 • Olympia, Washington 98504-1200

Telephone (360) 902-8760 • TDD (360) 664-8126 • FAX (360) 902-0524 • <http://www.dfi.wa.gov>

Consumer Complaint

We have found complaints can normally be resolved if the consumer contacts the company directly. If you have not already done so, please contact the company and attempt to resolve the problem. If direct contact is unsuccessful or you are not satisfied with the results, please fill out this form and send it, along with copies of the company's response and any other appropriate documentation, to the Division of Securities. Your complaint will be promptly acknowledged, and you will be notified of the final disposition.

Your Information:

Name: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) _____ - _____ Fax Number: (____) _____ - _____

Email Address: _____

Property Address in Question (if different from mailing address):

Property Address: _____

City: _____ State: _____ Zip: _____

Your Complaint is Against the Following Escrow Company:

Company Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) _____ - _____ Fax Number: (____) _____ - _____

Who You Contacted: _____

Escrow Information:

Escrow Number: _____ Amount Funded: _____

Lender: _____ Date Escrow Opened: _____

Purpose of Escrow (i.e., home purchase, refinance, commercial real estate, other, etc.):

Common Problems and Issues:

check all that apply:

- ☐ Closing Delay
- ☐ Disbursement Problems
- ☐ Escrow Instructions Not Followed
- ☐ Title Transfers Incorrect or Incomplete
- ☐ Other

Explain Your Complaint in Detail:

Please describe, in detail, the problem that you are having. Remember to include who, what, where, when, why and how the events transpired.

Disclosure of Complaint:

Please be advised that in order to process your complaint, the Securities Division may send a copy of your complaint to the Escrow Agent or subject of your complaint. In addition, your complaint is a "public record" that could be subject to disclosure under a public records disclosure request. Therefore, your complaint may be seen by other people.

Jurisdiction of the Securities Division:

The jurisdiction of the Securities Division generally extends only to issues connected with the initial offer and sale of securities, franchises, business opportunities, and commodities. We may not have jurisdiction if your complaint involves later actions by management that you think are unfair to investors, or in actions by management such as the failure to hold shareholder meetings or provide annual reports. However, you may have civil remedies available to address your complaint, so please consult your attorney. Also, the Division does not have the authority to represent you in civil litigation, which means you must hire your own attorney to recover your investment funds.

Public Records Disclosure Act:

Under the public records provisions of Washington law, RCW 42.17 et.seq., this complaint may become subject to public disclosure at some time after your file is closed.

☐ By checking this box, you may request that this complaint not be disclosed if it is requested pursuant to the Public Records Disclosure Act.

Declaration:

By signing my name below, I declare that the information contained in this complaint is true and accurate and the information may be used to further investigate the complaint.

Date

Signature

Revised: 06/28/2006